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Express Mail No.: EL 477 033 859 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#41 Reg for  
Refund  
08-06-02

Application of: Hwu

Application No.: 10/001,281

Filed: November 30, 2001

For: COMPOSITIONS AND METHODS FOR THE  
TREATMENT OF CANCER



US PATENT & TRADEMARK  
OFFICE

Group Art Unit: 1614

Examiner: Not Assigned

Attorney Docket No.: 9516-026-999

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Refund Section, Accounting Division

Sir:

Applicant notes that a fee of \$1890.00 was paid on November 30, 2001 for the filing of the above-identified utility patent application (see attached Exhibit A). Applicant requests a refund of one half of the filing fees that were paid, i.e., \$945.00. Submitted concurrently herewith is an Assertion of Small Entity Status for the assignee, the Memorial Sloan-Kettering Cancer Center, an entity having certain rights in the invention (see attached Exhibit B). Pursuant to 37 C.F.R. § 1.28(a), Applicant requests a refund of the excess amount within three months of its timely payment.

Accordingly, please refund the excess by crediting \$945.00 to Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Anthony M. Insogna Reg. No. 32,503  
By: Michael J. Bruner Reg. No. 47,458  
32,503

Date: January 17, 2002

Anthony M. Insogna

(Reg. No.)

**PENNIE & EDMONDS LLP**

1155 Avenue of the Americas

New York, New York 10036-2711

(212) 790-9090

Enclosures



PENNIE & EDMONDS LLP  
COUNSELLORS AT LAW  
1155 Avenue of Americas  
New York, N.Y. 10036-2711

pre Mail No.: EL 477 032 717 US

ATTORNEY DOCKET NO. 9516-026-999

Date: November 30, 2001

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Wen-Jen Hwu

Executed on: November 30, 2001

Title of Invention: COMPOSITIONS AND METHODS FOR THE TREATMENT OF CANCER

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	45	-20	25	\$18.00 each	\$ 450.00
Independent	8	-3	5	\$84.00 each	\$ 420.00
Minimum Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 280.00
Total					\$ 1,890.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					\$ 0.00
Total Filing Fee					\$ 1,890.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- ☒ Priority of application no. 60/250,130 filed on December 1, 2000 is claimed under 35 U.S.C. § 119(e).
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

*Anthony M. Ihsogna*  
Anthony M. Ihsogna  
PENNIE & EDMONDS LLP

*By: Michael B. Berman*  
Reg. No. 47,458  
35,203  
(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

Express Mail No. EL 477 032 717 US First Class Mail ( )  
 Date Mailed November 30, 2001  
 Serial No. \_\_\_\_\_ Filed November 30, 2001  
 Inventor Wen-Jen Hwu  
 For COMPOSITIONS AND METHODS FOR THE TREATMENT OF CANCER

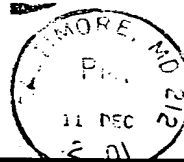
( ) Affidavit/Declaration  
 ( ) Amendment ( ) Response  
 (X) Application 55 pages  
 (X) 45 claims — drawing sheets  
 ( ) Assignment ( ) Cover Sheet  
 ( ) Brief (in triplicate)  
 (X) Declaration & Power of Attorney  
 ( ) Executed (X) Unexecuted ( ) Copy  
 ( ) Declaration of Inventor(s)  
 ( ) Executed ( ) Unexecuted ( ) Copy  
 ( ) Design Application  
 ( ) Disclaimer ( ) Disclaimer Fee  
 ( ) Disclosure Statement ( ) Form PTO-1449  
 ( ) w/refs. ( ) w/o refs.  
 ( ) Drawings, Formal  
— Sheets — Figures

( ) Fee Address Indication Form  
 (X) Fee Calculation (In duplicate)  
 ( ) Issue Fee Transmittal  
 ( ) Notice of Appeal  
 ( ) Oral Hearing Request/Confirm  
 ( ) Petition to Extend Time — month(s)  
 ( ) Petition under 37 C.F.R. —  
 ( ) Power of Attorney  
 ( ) by Assignee ( ) Associate ( ) with Revocation  
 ( ) Request for Correction of Filing Receipt  
 ( ) Sequence Listing w/Computer Readable and Paper Copy  
 ( ) Small Entity Statement  
 ( ) Status Letter  
 ( ) Transmittal Letter

Other: Utility Patent Application Transmitted

File No. 9516-026-999 Sender: AMI/MLB

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